

#15  
Douglas  
6/27/01

O I P E  
JUN 2 2001  
U.S. PATENT & TRADEMARK OFFICE  
JC98

## CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:  
[  ] DUPLICATE

**Address to:** Assistant Commissioner for Patents  
Box CPA  
Washington, D. C. 20231

Attorney Docket No. of Prior Application 42390P1901R

First Named Inventor Kuzma

Examiner Name Lee, R.

Group Art Unit 2713

Express Mail Label No. EI567359350US

RECEIVED  
JUN 26 2001  
Technology Center 2100

This is a request for a  continuation or  divisional under 37 CFR 1.53(d)  
(continuation prosecution application (CPA)) of prior application No: 08/881,965,  
filed on May 16, 1997, entitled Multiple Encoder Output Buffer Apparatus For Differential Coding Of Video Information

If the Patent and Trademark Office determines that this request under 37 CFR 1.53(d) is improper, the Office is requested to treat this CPA as a request for continued examination of the above identified application under 37 CFR 1.114.

### NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application practice," Final rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1.  Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
2.  A preliminary amendment is enclosed.
3.  This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a.  DELETE the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_  
\_\_\_\_\_
  - b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.  
\_\_\_\_\_
4.  A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5.  Information Disclosure Statement (IDS) is enclosed:
 

a. <input type="checkbox"/> PTO - 1449	06/25/2001 HN00R1 00000039 08881965
<input type="checkbox"/> Copies of IDS Citations	01 FC:131 710.00 GP
	02 FC:162 400.00 GP
	03 FC:103 105.00 GP

## CLAIMS

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
Total Claims (37 CFR 1.16(c) or (j))	26	- 20* =	6	X \$ 18.00 = \$ 108.00
Independent Claims (37 CFR 1.16(b) or (j))	8	- 3** =	5	X \$ 80.00 = \$ 400.00
Multiple Dependent Claims (if applicable) (37 CFR 1.16(d))			+ \$ _____	= \$ _____
			BASIC FEE (37 CFR 1.16(a))	\$ 710.00
			Total of above Calculations	= \$ 1218.00
			Reduction by 50% for filing by small entity (Note 37 CFR 1.27).	\$ _____

\* Reissue claims in excess of 20 and over original patent.

\*\* Reissue independent claims over original patent.

TOTAL = \$ 1218.00

6.  Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7.  The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 02-2666.
- Fees required under 37 CFR 1.16.
  - Fees required under 37 CFR 1.17.
  - Fees required under 37 CFR 1.18.
8.  A check in the amount of \$ 1218.00 is enclosed.
9.  Payment by credit card. Form PTO-2038 is attached.
10.  Applicant requests suspension of action under 37 CFR 1.103(b) for a period of \_\_\_\_\_ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11.  New Attorney Docket Number, if desired  
(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)
12. a.  Receipt for Facsimile Transmitted CPA (PTO/SB/29A)  
b.  Return Receipt Postcard (Should be specially itemized. See MPEP 503)
13.  Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (Reminder: First investigate foreign filing question).
14.  Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

**14. NEW CORRESPONDENCE ADDRESS**

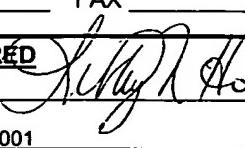
Customer Number or Bar Code Label  
OR  
 (Insert Customer No. or Attach Bar Code Label here)

New Correspondence Address Below  
NAME \_\_\_\_\_

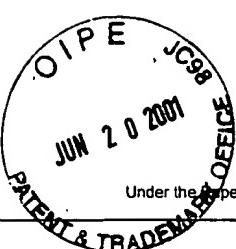
ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_  
COUNTRY \_\_\_\_\_ TELEPHONE \_\_\_\_\_ FAX \_\_\_\_\_

**15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME Libby N. Ho SIGNATURE 

REGISTRATION NO. 46,774 DATE June 20, 2001



06-22-0

213 CPA \$✓

PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL FOR FY 2001**TOTAL AMOUNT OF PAYMENT (\$) 1218.00

## Complete if Known:

Application No. 08/881,965Filing Date May 16, 1997First Named Inventor Andrew J. KuzmaGroup Art Unit 2713Examiner Name Lee, R.Attorney Docket No. 42390P1901R**METHOD OF PAYMENT** (check one)

- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
Deposit Account Name \_\_\_\_\_ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Credit Card  
 Money Order  
 Other

06/25/2001 100001 0000039-0881965

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	

SUBTOTAL (1) \$ 710.00

**2. EXTRA CLAIM FEES**

Extra Claims	Fee from below	Fee Paid
Total Claims <u>26</u> - 20** = <u>6</u>	X <u>18.00</u>	= <u>108.00</u>
Independent Claims <u>8</u> - 3** = <u>5</u>	X <u>80.00</u>	= <u>400.00</u>
Multiple Dependent		

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
103	18	203	9
102	80	202	40
104	270	204	135
109	80	209	40
110	18	210	9
		Claims in excess of 20	108.00
		Independent claims in excess of 3	400.00
		Multiple dependent claim, if not paid	0.00
		**Reissue independent claims over original patent	0.00
		**Reissue claims in excess of 20 and over original patent	0.00

SUBTOTAL (2) \$ 508.00

**FEE CALCULATION (continued)**Docket No.: 42390P1901R  
Express Mail No.: E1567359350US

### 3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for ex parte reexamination
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	130	123	130
126	180	126	180
581	40	581	40
			Petition to institute a public use proceeding
			Petition to revive – unavoidable
			Petition to revive - unintentional
			Utility issue fee (or reissue)
			Design issue fee
			Plant issue fee
			Petitions to the Commissioner
			Petitions related to provisional applications
			Submission of Information Disclosure Stmt
			Recording each patent assignment per property (times number of properties)
146	710	246	355
			For filing a submission after final rejection (see 37 CFR 1.129(a))
149	710	249	355
			For each additional invention to be examined (see 37 CFR 1.129(b))
179	710	279	355
169	900	169	900
			Request for Continued Examination (RCE)
			Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) \$ \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Libby N. Ho

Signature:  Date: June 20, 2001

Reg. Number: 46,774 Telephone Number: 303-740-1980

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EXPRESS MAIL CERTIFICATE OF MAILING  
I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

June 20, 2001

Date of Deposit

Debbie Pelagrin

Name of Person Making Correspondence

Debbie Pelagrin

6-20-01

Signature

Date